

### **REMARKS**

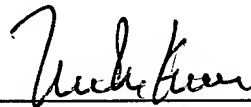
The specification has been amended to correct errors of a typographical and grammatical nature. Due to the large number of corrections thereto, applicants submit herewith a Substitute Specification, along with a marked-up copy of the original specification for the Examiner's convenience. Applicants submit that the substitute specification includes no new matter. Therefore, entry of the Substitute Specification is respectfully requested.

The claims and abstract have also been amended to more clearly describe the features of the present invention and to correct errors of a grammatical nature.

Entry of the preliminary amendments and examination of the application is respectfully requested.

To the extent necessary, applicant's petition for an extension of time under 37 CFR 1.136. Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (501.43127X00) and please credit any excess fees to such deposit account.

Respectfully submitted,



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# Title of the Invention

## A METHOD FOR MEASURING THREE DIMENSIONAL SHAPE OF A FINE PATTERN

### Background of the Invention

The present invention relates to a method <sup>which is used</sup> for measuring a three dimensional shape of a fine pattern formed on a semiconductor device, such as a semiconductor memory or <sup>an</sup> integrated circuit.

SEMs (scanning electron microscope) are used for measuring fine patterns <sup>that are</sup> formed on semiconductor devices. The SEM obtains an electron beam image of a sample ~~obtained~~ by detecting ~~the~~ secondary electrons and reflected electrons <sup>that are</sup> generated when an electron beam is <sup>used currently</sup> irradiated onto the sample. The most popular SEM in semiconductor processing is called a critical dimension SEM, which measures a sample mainly by using a secondary electron beam image.

Fig. 2 shows <sup>the</sup> relationship between a cross sectional shape and <sup>a</sup> secondary electron beam image of a sample. The greater <sup>the</sup> a slope of <sup>a surface of</sup> the sample, the greater <sup>will be the</sup> strength of secondary electrons <sup>emitted therefrom</sup>, so that, as shown in Fig. 2, <sup>an</sup> the image <sup>is obtained</sup> having bright portions (hereinafter called bright bands) corresponding to <sup>side</sup> edge portions (slope portions) of the sample pattern and dark portions corresponding to plane portions of the sample pattern <sup>the dimensions</sup> is obtained. With the bright bands,  $d1$  and  $d2$  are measured to obtain a bottom size and top size of the sample, respectively. However, three dimensional information, such as <sup>the</sup> a height <sup>H</sup> of the sample and <sup>the</sup> a slope angle <sup>of the side surface</sup> of the ~~edge~~ cannot be obtained.

In ~~the~~ semiconductor processing, the critical dimension SEM has been conventionally used for optimizing the conditions of a

manufacturing machine, such as an aligner and etcher, or for monitoring process fluctuation. However, with <sup>refining</sup> ~~fining~~ of the patterns, three dimensional shapes of the samples need to be measured in various cases, <sup>wherein</sup> ~~where~~ the critical dimension SEM is not always useful.

<sup>Examples of related technology</sup> ~~Related arts~~ for measuring cross sectional shapes are as follows.

(1) After a wafer is cut or FIB-processed, a cut surface of the wafer is observed using an electron microscope.

(2) The cross sectional shapes are observed using an AFM (Atom Force Microscope).

(3) The cross sectional shapes are observed using scatterometry. <sup>are encountered</sup> ~~there are~~ the following problems.

<sup>no P</sup> ~~In~~ <sup>However, in</sup> these methods, <sup>a</sup> it takes <sup>long</sup> time to prepare for ~~the~~ observation of the cross sections. Additionally, the cut or FIB-processed wafers <sup>hard to become</sup> ~~are~~ contaminated, and, <sup>they</sup> ~~thus~~ cannot be completed as products. As a result, this method cannot be used for ~~the~~ process fluctuation monitoring in a quantity production process.

~~The~~ <sup>method</sup> of (2) ~~does not take~~ <sup>a</sup> longer time than that in the method of (1) to observe the cross sections; <sup>However,</sup> the AFM has <sup>low</sup> throughput, which is about 1/3 of that of the popular critical dimension SEM, and <sup>it</sup> ~~cannot~~ <sup>be used to</sup> measure all ~~the~~ patterns because of restriction of the chip shapes. Consequently, as it is near-meaningless, critical points cannot be measured in the <sup>monitoring of</sup> ~~process~~ fluctuation in which measurement of three dimensional shapes is required.

Recently, the scatterometry <sup>method</sup> (3) <sup>has received</sup> ~~is receiving~~ attention, because it can <sup>be operated</sup> ~~operate~~ at high speed, and <sup>it can be used</sup> ~~measure~~ cross sectional shapes non-destructively. Using the fact that spectral distribution of scattered light from a sample changes depending on <sup>the</sup> ~~material~~ and cross sectional

shape of the sample, the scatterometry <sup>method</sup> matches the spectral distribution of the actually-measured sample to the spectral distribution library of various cross-sectional shape <sup>models</sup> previously produced using offline simulations, thereby to indirectly measure <sup>the</sup> a cross-sectional shape of the sample (see Fig. 3). In principle, any pattern shape can be produced. However, current computers cannot generate a library <sup>including</sup> ~~having~~ variations of all patterns. In the present condition, only lines and space patterns uniformly repeated in one direction are measurable. As a result, the scatterometry <sup>method</sup> is used only for measuring test-specific patterns <sup>that are</sup> formed on a wafer, and <sup>it</sup> ~~cannot~~ <sup>be used to</sup> measure arbitrary patterns (for example, critical points for process fluctuation).

~~The arts~~ <sup>Technology</sup> related to the present invention <sup>is</sup> ~~are~~ disclosed in JP-A No.141544/1991, JP-A No.342942/1992, and JP-A No.506217/2002. <sup>However,</sup> ~~the techniques disclosed in these publications~~ <sup>These related arts</sup> have the following problems. The critical dimension SEM, which is popular in ~~the~~ semiconductor processing, can measure plane shapes by use of electron beam images of arbitrary patterns, <sup>it</sup> ~~but~~ <sup>be used to</sup> cannot <sup>method</sup> measure three dimensional shapes. The scatterometry <sup>method</sup> can measure three dimensional shapes, but the sample patterns are limited to lines and spaces. Therefore, the scatterometry <sup>method</sup> ~~can~~ <sup>be used to</sup> ~~measure only~~ <sup>those shapes which conform to</sup> the test patterns produced for measurement.

### Summary of the Invention

The present invention provides a method <sup>which is capable of</sup> ~~for~~ measuring a three dimensional shape of an arbitrary fine pattern <sup>that is</sup> formed on a semiconductor device, in other words, a method <sup>that is capable of</sup> ~~for~~ measuring a three dimensional shape not limited to a test pattern.

<sup>accordance with</sup> In the present invention, an optical measurement system, such as <sup>a system which</sup> ~~uses the~~

method,  
scatterometry <sup>^</sup> measures cross-sectional shape information about a test pattern, an electron microscope obtains an electron beam image of a fine pattern, and plane surface information about the fine pattern is obtained from the electron beam image and is combined with the cross-sectional shape information about the test pattern <sup>so as</sup> to measure <sup>the</sup> <sup>^</sup> three-dimensional shape of the fine pattern.

<sup>accordance with</sup>  
Additionally, in the present invention, an optical measurement system, <sup>a system which uses the</sup> such as <sup>method,</sup> scatterometry <sup>^</sup> measures cross-sectional shape information about a test pattern, an electron microscope obtains an electron beam image of an arbitrary pattern, and the cross-sectional shape information about the test pattern is applied to slope change information about a surface of the fine pattern reflected on the electron beam image <sup>so as</sup> to measure <sup>the</sup> <sup>^</sup> three-dimensional shape of the fine pattern.

<sup>accordance with</sup>  
Further, in the present invention, an optical measurement system, <sup>a system which uses the</sup> such as <sup>method,</sup> scatterometry <sup>^</sup> measures cross-sectional shape information about a test pattern, an electron microscope also obtains an electron beam image of a test pattern, a relational equation is derived from the cross-sectional shape information and the electron beam image, and the relational equation is applied to an electron beam image of a fine pattern <sup>so as</sup> to measure <sup>the</sup> <sup>^</sup> three-dimensional shape of the fine pattern.

<sup>accordance with</sup>  
Further, in the present invention, cross sectional shape information about a test pattern is obtained by an optical measurement system, <sup>a system which uses the</sup> such as <sup>method</sup> scatterometry, <sup>the obtained information is</sup> and, used as a constraint for calculating a three dimensional shape of a fine pattern through the following methods (1) and (2).

<sup>that are obtained</sup>  
(1) With a plurality of the images, when a fine pattern tilts at different angles, which images are obtained by an electron microscope

having a beam tilt or stage tilt system, a three dimensional shape of the fine pattern is measured <sup>based</sup> on the principle of triangulation.

(2) With a plurality of reflected electron beam images <sup>that are</sup> obtained by a plurality of reflected electron detectors, a three dimensional shape of a fine pattern is measured on the principle of photometric stereo <sup>process</sup>.

These and other objects, features and advantages of the invention will be apparent from the following more particular description of preferred embodiments of the invention, as illustrated in the accompanying drawings.

#### Brief Description of the Drawings

<sup>diagram which shows a</sup> Fig. 1 is a procedure <sup>for</sup> measurement in <sup>accordance with</sup> a first embodiment of the present invention.

<sup>is a diagram which</sup> Fig. 2 shows a cross-sectional shape of a measurement sample and a secondary electron beam image thereof, where measurement by a conventional critical dimension SEM is <sup>employed</sup> explained.

Fig. 3 is a schematic diagram showing <sup>the principles involved in the</sup> a system of scatterometry <sup>method of</sup> measurement.

~~Fig. 4 shows the first embodiment of the present invention.~~

<sup>is a diagram which</sup> Fig. 4(a) shows a cross-sectional shape of a pattern.

<sup>is</sup> Fig. 4(b) <sup>diagram</sup> shows a signal waveform of a SEM image signal <sup>obtained from</sup> the pattern of <sup>Fig 4</sup> (a).

<sup>is a diagram which</sup> Fig. 4(c) shows a first-order differentiation waveform obtained by calculating a first-order differentiation of the signal waveform of <sup>Fig 4</sup> (b).

~~Fig. 5 shows the first embodiment of the present invention.~~

<sup>is a diagram which</sup> Fig. 5(a) shows a cross-sectional shape of a pattern.

<sup>is</sup> Fig. 5(b) <sup>diagram</sup> shows a signal waveform of a SEM image signal of the pattern of <sup>Fig 5</sup> (a).

is a diagram which to be used  
 Fig. 5(c) shows a method for calculating a three dimensional shape of a sample from ~~a~~ <sup>the</sup> secondary electron signal strength of a sample.  
Diagram which shows a for in accordance with  
 Fig. 6 is a procedure of measurement of a second embodiment of the present invention.

(a) to 7(d) illustrate  
 Fig. 7 shows the principle of stereoscopic <sup>processing</sup> in the second <sup>accordance with</sup> embodiment of the present invention. in which Fig 7(a) and 7(b) are diagrams of electron beam images of a sample, and Figs 7(c) and 7(d) are diagrams relating to the  
is a diagram which to be used for images of Figs 7(a) and 7(b)  
 Fig. 8 shows a procedure of measurement in a third embodiment of the present invention. in accordance with

(a) to 9(e) are diagrams which illustrate  
 Fig. 9 shows the principle of the third embodiment of the present invention.

Fig. 10 is a block diagram showing how a method for measuring a three dimensional shape according to the present invention is used in a semiconductor processing line.

used for  
 Fig. 11 is a flowchart of a procedure of measuring a three dimensional shape according to the present invention.

Diagram display  
 Fig. 12 is ~~an elevation~~ of a screen displaying a result of measurement <sup>in accordance with</sup> the second embodiment of the present invention.

a diagram display  
 Fig. 13 is ~~an elevation~~ of a screen displaying a result of measurement <sup>in accordance with</sup> the third embodiment of the present invention.

### Description of the Preferred Embodiments

The present invention <sup>will be</sup> explained below with reference to the appended drawings.

[First embodiment]  
the principles employed in

Fig. 1 shows a first embodiment of the present invention. In the manufacture,  
a A large number of semiconductor chips 201 are formed on a wafer 100. A scribe area 204 is formed between the semiconductor chips 201.

The scribe area 204 is cut to complete <sup>manufacture of</sup> the semiconductor chips. A test pattern 202 is formed on the scribe area 204. The test pattern 202 is formed in the same manufacturing process <sup>that used to form</sup> as a device pattern 203 in the semiconductor chips 201. In other words, <sup>the</sup> materials of the test pattern 202 and device pattern 203 are the same, and their film thicknesses are almost the same.

As shown in Fig. 1, an electron beam image of a required portion of the scribe area 204 is obtained by a SEM, and the test pattern 202 is measured by scatterometry.

Fig. 11 is a flowchart showing <sup>an example of the</sup> a procedure <sup>used for this</sup> of measurement. ~~The~~ <sup>the</sup> line width  $W_n$  and bright band width  $E_n$  of an electron beam image are measured, where  $n$  represents a measurement position in the  $y$  direction on the image. As shown in Fig. 1, with a film thickness  $h$ , which is <sup>an item</sup> ~~a piece~~ of cross-sectional shape information about the test pattern, ~~which~~ <sup>that</sup> ~~information~~ <sup>process</sup> is obtained by the scatterometry, a tilt angle  $\theta_n$  at the measurement position  $n$  is determined when the cross-section is considered as a trapezoid.

Actually, as shown in Fig. 4(a), the cross-section <sup>of the sample</sup> is not a trapezoid, but has, for example, a bottom roundness <sup>a rounded edge</sup> and <sup>edge</sup> top roundness. In such a case, a first-order differentiation waveform, as shown in Fig. 4(c), is obtained from an electron beam image signal (shown in Fig. 4(b)) of a device pattern, which signal is detected by the SEM, to quantify the average slope angle  $\tan^{-1}(H/E)$ , where  $H$  is <sup>the</sup> a height when the cross section is considered as a trapezoid and  $E$  is <sup>the</sup> a width between the top and bottom of the slope when viewed from above the pattern. <sup>The</sup> a ratio of the bottom roundness  $B/H$ , where, in the first-order differentiation waveform,  $B$  is <sup>the</sup> a width between the rising point corresponding to the



bottom and the maximum point, <sup>and</sup> a ratio of the top roundness  $T/H$ , where, in the first-order differentiation waveform,  $T$  is <sup>the</sup> distance between the minimum point and the starting point of the flat portion corresponding to the top <sup>are also obtained</sup> ~~and so on~~. Then, <sup>the</sup> shape of the pattern may be judged.

Fig. 5(b) shows a signal waveform of an electron beam image of a sample having a cross-sectional shape as shown in Fig. 5(a). <sup>The</sup> signal strength  $SE_i$  of each point  $i$  on the slope is proportional to  $1/\cos\theta_i$  (relationship of an equation 5.1 of Fig. 5) ( $\theta_i$  is a tilt angle of a sample). Therefore, the cross-sectional shape may be determined as follows.

The equation 5.1 of Fig. 5 <sup>(c)</sup> has two unknowns  $a$  and  $b$ . The cross-sectional shape may be determined through the following procedure. The unknowns  $a$  and  $b$  are determined <sup>using</sup> ~~through~~, e.g., a least-squares method, so that a result of integrating  $\tan\theta_i$  ( $i=0$  to  $N$ ) <sup>produces the</sup> ~~becomes a~~ film thickness  $H$  (relationship of ~~an~~ equation 5.2 of Fig. 5 <sup>(c)</sup> where  $d$  is  $1/N$  times <sup>the</sup> ~~of a~~ width between <sup>(b)</sup> ~~a~~ top and bottom of a slope surface corresponding to  $E$  of Fig. 4), and substituted for the equation 5.1 of Fig. 5 <sup>(c)</sup>.

#### [Second Embodiment]

<sup>the principles employed in</sup>

Fig. 6 shows a second embodiment of the present invention.

In the present embodiment, on the principle of stereoscopic <sup>processing</sup>, a three dimensional shape of a sample is obtained from a plurality of images of the sample whose tilt angle changes <sup>using</sup> ~~by~~ an electron microscope having a beam tilt or stage tilt system. Fig. 7(a) <sup>shows</sup> ~~is~~ the electron beam image when <sup>the</sup> ~~a~~ tilt angle of the sample is  $\alpha_1$ , and 7(b) <sup>shows</sup> ~~is~~ the electron beam image when <sup>the</sup> ~~a~~ tilt angle of the sample is  $\alpha_2$ . As shown in Figs. 7(c) and 7(d), because <sup>the</sup> ~~a~~ width of the <sup>side surface</sup> ~~edge~~, when viewed from vertically above the sample, changes depending on the tilt angle, <sup>the</sup> widths of the bright bands

of Figs. 7(a) and 7(b) are different.

The bright band widths E1 and E2 of the images are measured to determine a tilt angle  $\theta$  of the ~~edge~~ <sup>side surface</sup>. The tilt angle  $\theta$  is <sup>inserted in</sup> substituted for an equation 7.2 of ~~Fig. 7~~ <sup>the</sup> to determine ~~a~~ height H0. The widths E1 and E2 change depending on the measurement points of an actual sample. <sup>Thus, at</sup> ~~It~~ is ~~thus~~ necessary to determine which point on Fig. 7(b) corresponds to the measurement point of the bright band width of Fig. 7(a). However, for example, when ~~a~~ <sup>the</sup> surface of the sample is smooth, it is difficult to correctly determine the corresponding point. In this case, information about ~~the~~ film thickness  $h$  obtained by the scatterometry <sup>method</sup> can be used. Instead of determining the corresponding point, a plurality of candidate points <sup>can be</sup> ~~are~~ previously determined, and <sup>the</sup> heights of the candidate points are determined by the equation 7.2 to exclude <sup>those</sup> ~~the~~ candidate points having heights different from the film thickness  $h$ .

In Fig. <sup>(a) to 7(d)</sup> 7, only a starting point and ending point of the ~~edge~~ <sup>side surface</sup> are used as the corresponding points. When there are distinguishing points <sup>along the surface</sup> also <sup>in the way of the edge</sup> due to, e.g., irregularities of the surface of the sample, <sup>these</sup> ~~the~~ distinguishing points <sup>also</sup> may be added as ~~the~~ corresponding points. The three dimensional shape obtained by the above-described method is useful also for grasping <sup>the</sup> condition of three dimensional <sup>side surface</sup> ~~edge~~ roughness.

### [Third Embodiment]

<sup>the principles employed in</sup> Fig. 8 shows ~~a~~ third embodiment of the present invention.

In this embodiment, on the principle of photometric stereo <sup>processing</sup> ~~a~~ as shown in Fig. <sup>(a) to 9(e)</sup> 9, a three dimensional shape of a sample is obtained from left and right reflected electron beam images (~~two~~ left and right reflected electron beam images are simultaneously obtained by ~~two~~ right and left

reflected electron beam detectors). Figs. 9(a) and 9(b) show images and waveforms obtained by the left and right reflected electron beam detectors. In Fig. 9(a), the left <sup>side</sup> edge portion is brighter, and the shadowed right <sup>side</sup> edge portion is darker. In Fig. 9(b), the left <sup>side</sup> edge is darker, and the shadowed right <sup>side</sup> edge is brighter.

In an equation 9.1, K needs to be experimentally determined by measuring signal strengths A and B of a sample having a known slope angle  $\theta$ . In this embodiment, a test pattern is measured by both ~~the~~ scatterometry and <sup>a</sup>SEM,  $\theta$  is determined from a result of measurement ~~of~~ <sup>by</sup> scatterometry, and the signal strengths A and B are <sup>inserted in</sup> substituted for the equation 9.1 to determine K. Once K is determined, <sup>the</sup> cross-sectional shape can be determined from <sup>the</sup> signal strengths of reflected electron beam images of an arbitrary pattern. In the second embodiment, it <sup>was</sup> necessary to search <sup>for</sup> the corresponding points. In this embodiment, reflected electrons are simultaneously obtained by the two, right and left, reflected electron beam detectors, so that two images of the same point are obtained. As a result, it is not necessary to search the corresponding points.

<sup>the</sup> ~~An~~ actual cross-sectional shape <sup>of the sample</sup> is not a trapezoid as shown in Fig. 9(c), but <sup>it</sup> has a constantly-changing slope angle, as shown in Fig. 9(e). Also, in this case, K is previously determined by measuring a test pattern by means of ~~the~~ scatterometry and <sup>a</sup>SEM, and <sup>the</sup> slope angle  $\theta_i$  of each point may be determined by an equation 9.3. <sup>the</sup> ~~A~~ height H0 is determined by integrating  $\tan \theta_i$ . As a result, an arbitrary three dimensional shape can be determined from the right and left reflected electron beam images.

[Usage in Semiconductor Processing]

Fig. 10 shows how a method <sup>of</sup> ~~for~~ measuring a three dimensional

shape according to the present invention is used in semiconductor processing. A scatterometry <sup>device</sup> 110 and a SEM 111 are positioned close to each ~~another~~, and <sup>they perform</sup> ~~execute~~ measurement before and after resist exposure/development processing 120 and etching processing 130 ~~by~~ <sup>under control</sup> ~~means~~ of a consol 112. The scatterometry <sup>device</sup> 110 and the SEM 111 are connected ~~to~~, e.g., <sup>to</sup> a recipe server 140, a work record management system 141, and a QC data collection/analysis system 142 via a communication line 150.

With such a system, the scatterometry <sup>device</sup> 110 and the SEM 111 measure three dimensional shapes of resist patterns formed on a wafer through the resist exposure/development processing 120 <sup>so as</sup> to monitor the resist exposure/development processing 120.

The scatterometry <sup>device</sup> 110 and the SEM 111 measure three dimensional shapes of semiconductor devices and circuit patterns <sup>that are</sup> formed on a wafer through the etching processing 130 <sup>in order</sup> to monitor the etching processing 130.

The three dimensional shape measurement data of the resist patterns and that of the element and circuit patterns are transmitted via the communication line 150 to the QC data collection/analysis system 142, where the relationship between both data is analyzed. In accordance with the analysis result and work record data stored in the work record management system 141, resist exposure/development processing and etching processing recipes stored in the recipe server 140 can be controlled.

#### [Method for Displaying Results]

Figs. 12 and 13 show examples of screens <sup>which display</sup> for ~~outputting~~ results of three-dimensionally <sup>obtained</sup> measuring patterns <sup>device</sup> by means of the scatterometry.

110 and the SEM 111.

Fig. 12 shows an example of a display screen of the second embodiment. A SEM image, two types of tilt images, and a result of three dimensional measurement are displayed within one screen. The SEM image shows the area where the two types of tilt images are observed. An electron beam signal waveform within the area is superimposed on the SEM image and displayed.

This electron beam signal waveform may be a signal waveform for one typical scanning line, for a summation of a plurality of scanning lines, or for the combination of all the signals detected in the area where the two types of tilt images are observed (many scanning lines are combined to obtain a waveform having an excellent S/N ratio).

A diagram showing a cross-sectional shape of the pattern and shape data of each portion of the cross-section are displayed as a result of the three dimensional measurement. When the pattern is formed of a plurality of layers, cross-sectional shape data of each layer may be displayed.

Fig. 13 shows an example of a display screen of the third embodiment. A SEM image, two types of tilt images, and a result of three dimensional measurement are displayed within one screen. The SEM image shows the area where the two types of tilt images are observed. An electron beam signal waveform within the area is superimposed on the SEM image and displayed.

Like in Fig. 12, this electron beam signal waveform may be a signal waveform for one typical scanning line, for a summation of a plurality of scanning lines, or for the combination of all the signals detected in the area where the two types of reflected electron beam

images are observed (many scanning lines are combined to obtain a waveform having an excellent S/N ratio).

A diagram showing <sup>the</sup>~~a~~ cross-sectional shape of the pattern and shape data of each portion of the cross-section are displayed as a result of the three dimensional measurement. Cross-sectional shape data of each layer <sup>also</sup> may be ~~also~~ displayed. This is because, when the pattern is formed of a plurality of layers, <sup>the</sup>~~a~~ detection signal changes depending on <sup>the</sup>~~the~~ secondary electron emission efficiency of each layer, so that each layer can be recognized to determine the cross-sectional shape data of each layer.

As described above, according to the present invention, <sup>the</sup>~~a~~ three dimensional shape of a fine pattern formed on a semiconductor device, such as a semiconductor memory and <sup>an</sup>integrated circuit, ~~has been able to~~ <sup>can</sup> be measured more precisely without deconstructing the semiconductor device.

The invention may be embodied in other specific forms without departing from the spirit or essential characteristics thereof. The present embodiment is therefore to be considered in all respects as illustrative and not restrictive, the scope of the invention being indicated by the appended claims, rather than by the foregoing description, and all changes which come within the meaning and range of equivalency of the claims are therefore intended to be embraced therein.